

09/980232

Attorney Docket No.01767/LH

**IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

Applicant(s): E. NAKAZAWA et al

Serial No. : Based on  
PCT/JP01/02496

Filed : Herewith

For : SETTLEMENT SYSTEM AND  
SERVER APPARATUS

Art Unit :  
Examiner :

**INFORMATION DISCLOSURE STATEMENT**

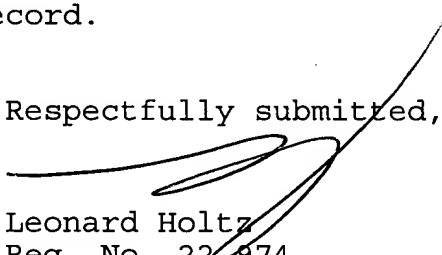
Asst. Commissioner for Patents  
Washington, D.C. 20231

S I R :

Submitted herewith are copies of the publications identified on the attached Patent Office form PTO/SB/08A. Also attached is an International Search Report. ✓

It is respectfully requested that these publications be considered and made of record.

Respectfully submitted,

  
Leonard Holtz  
Reg. No. 22,974

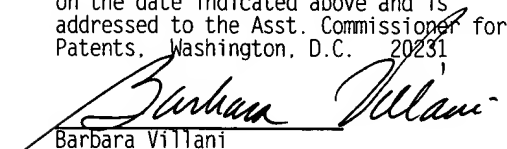
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**JC10 Rec'd PCT/PTO 27 NOV 2001**

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Substitute for Form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number		
				Filing Date		Herewith
				First Named Inventor		E. NAKAZAWA et al
				Group Art Unit		
				Examiner Name		
Sheet	1	of	1	Attorney Docket Number		01767/LH

## U.S. PATENT DOCUMENTS

Exam. Inits <sup>*</sup>	Cite No <sup>1</sup>	Document Number	Kind Code <sup>2</sup>	Name of Patentee or Applicant	Publication Date MM-DD-YYYY	Relevant Portion
		5,855,007	A	Jovicic et al	12-29-1998	

## FOREIGN PATENT DOCUMENTS

Exam Inits <sup>*</sup>	Cite No <sup>1</sup>	offc <sup>3</sup>	Document Number <sup>4</sup>	Kind Code <sup>5</sup>	Name of Patentee or Applicant	Publication Date MM-DD-YYYY	Relevant Portion	T <sup>6</sup>
		PCT	WO 97/22074 ✓	A1	Goldhaber et al	06-19-1997		
		PCT	WO 97/37737 ✓	A1	Kelly et al	10-16-1997		
		EP	0 971 302 ✓	A1	Ziegler	01-12-2000		

Examiner Signature		Date Considered	
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<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Place a check here if English translation is attached.

DATE MAILED: November 27, 2001